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6175254533 RESS MAIL CERTIFICATE

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I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to Mail Stop Non-Fee Amendments, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 by AExpress Mail Post Office to Addressee@ service.

Name (Print)

Signature

Customer No.: 07278

Docket No: 03394/100H557-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ehud Goldin et al.

Serial No.:

09/851,494

Art Unit:

- 1646

Confirmation No.:

Filed:

For:

Examiner:

John D. Ulm

Gene Encoding A New TRP Channel Is Mutated In Mucolipodosis IV

DECLARATION UNDER 37 C.F.R. § 1.131

Mail Stop Non-Fee Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

- I, Susan A. Slaugenhaupt, hereby declare and state as follows:
- I am a citizen of the United States of America. I am more than twenty-1. one years of age.

Serial No. 09/851,494

- 2. I am a co-inventor of the above-identified application, along with James S. Acierno JR. James S. Acierno JR and I did the work that resulted in the data reported in the declaration, or it was done under my supervision as head of the laboratory.
- 3. I make this statement on behalf of myself and the co-inventors identified in paragraph 2.
- 4. I reaffirm my duty of candor and good faith in dealing with the Office, including the duty to disclose to the Office all information known to be material to the patentability of the invention as defined in 37 C.F.R. § 1.56.
- 5. I have read and am familiar with the instant application as it was filed in the U.S. Patent and Trademark Office.
- 6. I have read and am familiar with the publications by (i) Curtis et al. (Pub. No. US 2002/0035056 A1), which has an effective filing date under 35 U.S.C. 119(e) of Apr. 07, 2000; and (ii) Lal et al. (Pub. No. US 2002/0182671 A1), which has an effective filing date under 35 U.S.C. 119(e) of Aug. 17, 1999.
- 7. It is my understanding that, according to the Examiner, the amino acid sequence presented in SEQ ID NO: 3 of the instant application is identical to the amino acid sequence presented in SEQ ID NO: 2 of Curtis et al. and SEQ ID NO: 13 of Lal et al. It is further my understanding, that the Examiner states that Curtis et al. and Lal et al. each present an isolated nucleic acid encoding a protein comprising the amino acid sequence presented in

Serial No. 09/851,494

SEQ ID NO: 3 of the instant application, as well as a vector and host cell comprising that nucleic acid.

- 8. Prior to Aug. 17, 1999, the effective date of the Lal et al. publication, we conceived and reduced to practice the invention as described and claimed in claims 1, 5-7, and 33-34 of the subject application.
- 9. As evidence that our reduction to practice antedates Lal et al., we refer to Exhibits 1 and 2, which collectively establish the conception and reduction to practice of our invention prior to Aug 17, 1999. The exhibits document isolation and possession of a nucleic acid encoding MCOLN1 prior to Aug. 17, 1999. Dates, along with privileged information, appearing in these documents have been reducted, but each document has a date before August 17, 1999.
- 10. Exhibit 1 establishes identification of MCOLN1 sequence, showing the receipt by Dr. Slaugenhaupt of two primers: (i) sts-T66288-R (5'-AGC TGC AGG CCT ACA TCG -3'); and (ii) sts-T66288-F (5'GGC AGT CAG GTC GAA TCA AT-3). As shown in Appendix A, the two primers are specific to the MCOLN1 gene, spanning the 1732-1883 bp region of the MCOLN1 cDNA sequence (SEQ ID NO: 3).
- 11. Exhibit 2 documents identification and possession of a nucleic acid encoding a full-length MCOLN1 protein by showing an EST alignment spanning the MCOLN1 gene. At least two notations are particularly relevant. First, this page shows a "2264 bp"

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Docket No: 03394/100H557-US1

annotation of T66288 following sequencing, indicating that T66288 encodes the entire MCOLN protein. Prior to our sequencing, the exact insert size of this construct was not known. Second, this page also identifies the orientation of AI8166064, which is the corresponding GenBank accession number for IMAGE CLONE 2517653 (Appendix B). Paragraph [0185] of the specification states that we "sequenced the IMAGE clone 2517653." This paragraph further describes our deduction and confirmation of the MG-2 (MCOLN) open-reading frame from this clone.

- also achieved reduction to practice of an expression vector encoding the MCOLN1 protein prior to August 17, 1999. Appendix B reveals that IMAGE CLONE 2517653 (as presented in Exhibit 2) is inserted into the pBlusescript SK+ vector. This common vector is widely recognized by those skilled in the art of molecular biology as including T3 and T7 promoters that flank the cloning site, which allow expression of the inserted gene sequence. Appendix C shows the key structural features of this vector. The entire MCOLN1 open reading frame is present in IMAGE CLONE 2517653. Alternatively, given our identification of the coding sequence of MCOLN1, we knew how to incorporate that sequence into an expression vector such as pBluescript SK+.
- 13. These documents establish that the inventions of claims 1, 5-7, and 33-34 were reduced to practice prior to Aug. 17, 1999.
- 14. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true. I

 Serial No. 09/851,494

 Docket No: 03394/100H557-US

further declare that these statements are made with the knowledge that the willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United Stated Code, and that such willful false statements may jeopardize the validity of the instant application or of any patent issued thereupon.

Respectfully submitted,

DATE

Susan A. Slaugenhaupt

Serial No. 09/851,494

Docket No: 03394/100H557-US1

Page 5

PAGE1 EXHIBITA

grated DNA Technologies, Inc.

gonucleotide Specification Sheet

stomer Information

Susan Slaugenhaupt Harvard Institute of Human Genetics Massachusetts General Hospital-Boston 77 Avenue Louis Pasteur HIM Bldg. Rm. 422 Boston, MA 02115 6174327025

amercial Rark //www.idtdna.com

Order Information

Order Date:

Customer #:

19479

P.O. #:

0000085288

Sales order #: 148396 Reference #: 624757

Oligonucleotide Information

Reference #:

624757

Purification:

Standard Purification

Sequence Name: sts-T66288-f

Product: DNA Oligo Sample

Unit Size: 100 nmole

Bases:

.20

5'- GGC AGT CAG GTC GAA TCA AT -3' Sequence:

Molecular Weight:

7,572.00

51.44 °C

GC Content:

50.0 %

Tm (50mM NaCl):

OD260

95.01

0.72

21.8

Amount of Oligo

nanomoles

mg

Printed

6/9/99

624757 Integrated DNA Tech E Staugenhoupt oscobas. STOCK AST CAS STE CARTONAL ST Tm = 51.44 C. MW = 7572

21.60 CD. - 95.01 and - 0.72 mg

824757 integrated DNA Test . श्रीताहरूकोसम्बद्धाः एहं।एवं/१९ 100-T002084 E-200 ANT-OND-TITO WAA TEA AT 47 Tm = 51 (44 - 12, W/V = 7572 21,80 Ob = 0 55,01, mixel = 0,72 mg

Samples Statistically Tested

Q.C. Approved By:

PLEASE READ BEFORE OPENING TUBES

- * Store at -20°C. If the oligo is to be used on multiple occasions, resuspend in water or trissmaller aliquots, lyophilize, and store at -20°C.
- Contents may appear as either a translucent film or a white powder. This variance does not affect the quality of the oligo.
- * Please centrifuge tubes prior to opening. Some of the product may have been dislodged during shipping.
- Calculations are made using 1 OD 260 = 33 ug / mL

grated DNA Technologies, Inc.

igonucleotide Specification Sheet

istomer Information

Susan Slaugenhaupt Harvard Institute of Human Genetics Massachusetts General Hospital-Boston 77 Avenue Louis Pasteur HIM Bldg. Rm. 422 **Boston, MA 02115** 6174327025

A 52241 /www.ldtdna.com

Order Information

Order Date:

Customer #:

19479

P.O. #:

0000085288

PAGE 2

Sales order #: 148396 Reference #: 624758

Oligonucleotide information

Reference #:

624758

Purification:

Standard Purification

Sequence Name: sts-T66288-R

Product: DNA Oligo Sample

Unit Size: 100 nmole

Bases:

18

Sequence:

5'- AGC TGC AGG GCT ACA TCG -3'

Molecular Weight:

6,754.00

GC Content:

61.1 %

Tm (50mM NaCl):

51.11 °C

Amount of Oligo

15.5

75.73

0.51

OD260

nanomoles

mg

Printed

6/9/99

LABELS - PEEL HERE

624756 Integrated DNA Test S. Slaugenhaupt 09/20/55

624758 Integrated DNA Total 8. Strugenhaupt 06/09/99 Tm = 61.11 °C, MW = 6754 15.50 CO. - 75.73 mioi - 0.61 mo

Samples Statistically Tested

Q.C. Approved By:

PLEASE READ BEFORE OPENING TUBES

Store at -20°C. If the oligo is to be used on multiple occasions, resuspend in water or tris-RDTA buffer, divide mailer aliquots, lyophilize, and store at -20°C.

* Contents may appear as either a translucent film or a white powder. This variance does not affect the quality of the oligo. Please centrifuge tubes prior to opening. Some of the product may have been dislodged during shipping. Calculations are made using 1 OD 20 = 33 ug / mL

APPENDIX A

Page 1

SEQ ID NO: 2

<400> 2

----- sts-T66288-f ----- sts-T66288-r

agatcagctg	atgccggagg	gtttgaagcc	gcgccgcgag	ggagcgaggt	cgcagtgaca	
გაგნგაგნაგ	atcggaccca	ggctgccccg	ccgtacccgc	ctgcgtcccg	cgctcccgcc	
ccagcatgac	agccccggcg	ggtccgcgcg	gctcagagac	cgagcggctt	ctgaccccca	
accccgggta	tgggacccag	gcggggcctt	caccggcccc	tccgacaccc	ccagaagagg	
aagaccttcg	ccgtcgtctc	aaatactttt	tcatgagtcc	ctgcgacaag	tttcgagcca	
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tgcctgacgt	gtcactgggc	cggtatgcgt	atgtccgtgg	tgggggtgac	ccttggacca	
atggctcagg	gcttgctctc	tgccagcggt	actaccaccg	aggccacgtg	gacccggcca	
acgacacatt	tgacattgat	ccgatggtgg	ttactgactg	catccaggtg	gateceeeeg	
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agaacctcac	gctcaaattc	cacaagctgg	tcaatgtcac	catccacttc	cggctgaaga	
ccattaacct	ccagagcctc	atcaataatg	agatcccgga	ctgctatacc	ttcagcgtcc	
tdatcacqtt	tgacaacaaa	gcacacagto	gacadatece	catcadcctd	gagacccagg	



Page 2 **APPENDI**

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198	tgtcgcgccc	atcggctccc	gcttttaagg	tgtagggttt	cccgaccccg cttatttatt	cccgaccccg
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102(agcttccggc	cggagacaac	tcttccagca	caccccagtg	ggagtgtaag	cccacatcca

A PPENDIX B



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Begin new search | Begin new Clone search

.G.E. Clone Query Results:

returned 2 results! Here they are: Your search

Result NumberCLONE IDROW POSCOL POSPLATE AG	CLONE	ROW POS	COL	PLATE	$ \begin{array}{c c} GB & SEQ \\ ACCNUM & LENGTH \end{array} $	SEQ LENGTH	GB DATE CREATED MODIFIED	DATE MODIFIED	CDNA LIBR ID	CDNA LIBR D SPECIES	TISSUE	VECTOR NAME
1	2517653		9	6268	AI815981	448	Jul 09 1999 12:00AM	09 1999 Apr 17 2003 :00AM 05:06PM	1341	human	brain/CNS	brain/CNS pBluescript SK+
2	2517653		9	6268	AI816064	706	Jul 09 1999 12:00AM	09 1999 Apr 17 2003 :00AM 05:06PM	1341	human	brain/CNS	brain/CNS pBluescript SK+

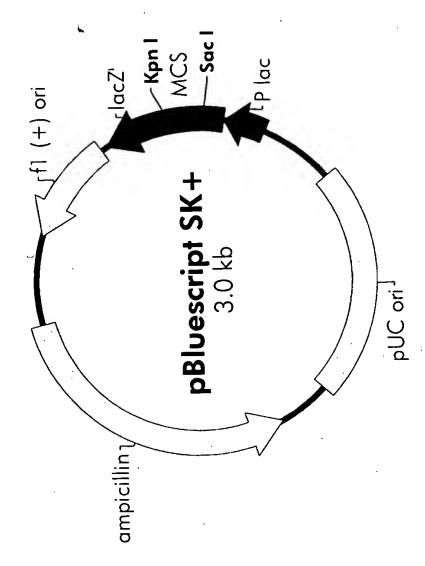
Begin new search | Begin new Clone search

I.M.A.G.E. Consortium home page

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Programs, Projects, Centers and Consortia

f1 (+) origin 138–444 β -galactosidase α -fragment 463–816 multiple cloning site 653–760 lac promoter 817–938 pUC origin 1458–1825 ampicillin resistance (bla) ORF 1976–2833



pBluescript SK (+/-) Multiple Cloning Site Region (sequence shown 601–826)

ATCGATAAGCTTGATATCGAATTCCTGCAGCCCGGGGATCCACTAGTTCTAGAGCGGCCGCCACCGCGGTGGAGCTCCA...

SK primer binding site TTGTAAAACGACGGCCAGTGAATTGTAATACGACTCACTATAGGGCGAATTGGGTACCGGGCCCCCCCTCGAGGTCGACGGT

M13 -20 primer binding site

T7 primer binding site

T8 primer binding site Hinc II Acc I Sal I BstX I Sac II Xho I Apa | EcoO109 | Dra II Kpn l Xbal BamH 1 Spe 1 T7 Promoter Smal Bsp106 | Hind III EcoR V EcoR I Pst I